ANNEX 15-A

Section 1: Central Government Entities

- 1. This Chapter applies to central government entities listed in each Party's Schedule to this Section where the value of the procurement is estimated, in accordance with Article 15.1.6 and 15.1.7, to equal or exceed:
 - (a) for procurement of goods and services: A\$81,800 or US\$58,550
 - (b) for procurement of construction services: A\$9,396,000 or US\$6,725,000.

The monetary thresholds set out in subparagraphs (a) and (b) shall be adjusted in accordance with Section 8 of this Annex.

Schedule of Australia^{1,2}

1. Agriculture, Fisheries and Forestry Portfolio

Department of Agriculture, Fisheries and Forestry

Dairy Adjustment Authority

2. Attorney-General's Portfolio

Attorney-General's Department

Administrative Appeals Tribunal

Australian Crime Commission

Australian Customs Service

Australian Federal Police

AUSTRAC

Classification Board

Classification Review Board

CrimTrac Agency

Family Court of Australia

Federal Court of Australia

Federal Magistrates Court

Human Rights and Equal Opportunity Commission

Insolvency and Trustee Service Australia (ITSA)

National Native Title Tribunal

Office of Film and Literature Classification

Office of Parliamentary Counsel

Office of the Director of Public Prosecutions

Office of the Privacy Commissioner

3. Communications, Information Technology and the Arts Portfolio

Department of Communications, Information Technology and the Arts

National Archives of Australia

Australian Government Information Management Office

4. Defence Portfolio

Department of Defence³

Department of Veterans' Affairs

5. Education, Science and Training Portfolio

Department of Education, Science and Training

Australian Research Council

6. Employment and Workplace Relations Portfolio

Department of Employment and Workplace Relations

Australian Industrial Registry

Equal Opportunity for Women in the Workplace Agency

Seafarers Safety, Rehabilitation and Compensation Authority (Seacare Authority)

7. Environment and Heritage Portfolio

Department of Environment and Heritage

Australian Greenhouse Office

Bureau of Meteorology

National Oceans Office

Office of the Renewable Energy Regulator

8. Family and Community Services Portfolio

Department of Family and Community Services

Centrelink

9. Finance and Administration Portfolio

Department of Finance and Administration

Australian Electoral Commission

Commonwealth Grants Commission

ComSuper

CSS Board⁴

PSS Board⁴

10. Foreign Affairs and Trade Portfolio

Department of Foreign Affairs and Trade

AusAid

Australia-Japan Foundation

Australian Centre for International Agricultural Research

11. Health and Ageing Portfolio

Department of Health and Ageing

Australian Radiation Protection and Nuclear Safety Agency (ARPANSA)

National Blood Authority

Professional Services Review Scheme

12. Immigration and Multicultural and Indigenous Affairs Portfolio

Department of Immigration and Multicultural and Indigenous Affairs

Migration Review Tribunal

Refugee Review Tribunal

13. Industry, Tourism and Resources Portfolio

Department of Industry, Tourism and Resources

Geoscience Australia

IP Australia

14. Prime Minister and Cabinet Portfolio

Department of the Prime Minister and Cabinet

Australian National Audit Office

Australian Public Service Commission

Commonwealth Ombudsman Office

Office of the Inspector-General of Intelligence and Security Office of the Official Secretary of the Governor-General

15. Transport and Regional Services Portfolio

Department of Transport and Regional Services

National Capital Authority

16. Treasury Portfolio

Department of the Treasury

Australian Bureau of Statistics

Australian Competition and Consumer Commission

Australian Office of Financial Management (AOFM)

Australian Taxation Office

Inspector General of Taxation

National Competition Council

Productivity Commission

17. Parliamentary Departments

Department of the House of Representatives

Department of the Senate

Department of Parliamentary Services

Notes to the Schedule of Australia

- 1. This Chapter covers only those entities subordinate to the relevant portfolio which are listed in this Schedule.
- 2. This Chapter does not cover the procurement of motor vehicles by any entity listed in this Section.

3. <u>Department of Defence</u>

(a) This Chapter does not cover the procurement of the following goods due to Article 22.2 (Essential Security):

	Approximately equivalent to:
Weapons	FSC 10
Fire Control Equipment	FSC 12
Ammunition and Explosives	FSC 13
Guided Missiles	FSC 14

Aircraft and Airframe Structural Components	FSC 15
Aircraft Components and Accessories	FSC 16
Aircraft Launching, Landing, & Ground Handling Equipment	FSC 17
Space Vehicles	FSC 18
Ships, Small Craft, Pontoons and Floating Docks	FSC 19
Ship and Marine Equipment	FSC 20
Ground Effect Vehicles, Motor Vehicles, Trailers and Cycles	FSC 23
Engines, Turbines, and Components	FSC 28
Engines Accessories	FSC 29
Bearings	FSC 31
Water Purification and Sewage Treatment Equipment	FSC 46
Valves	FSC 48
Maintenance and Repair Shop Equipment	FSC 49
Prefabricated Structures and Scaffolding	FSC 54
Communication, Detection, and Coherent Radiation Equipment	FSC 58
Electrical and Electronic Equipment Components	FSC 59
Fiber Optics Materials, Components, Assemblies, and	FSC 60
Accessories	
Electric Wire, and Power and Distribution Equipment	FSC 61
Alarm, Signal and Security Detection Systems	FSC 63
Instruments and Laboratory Equipment	FSC 66
Specialty Metals	No Code
1	

NB: Whether a good is included within the scope of this Note shall be determined solely according to the descriptions provided in the left column above. U.S. Federal Supply Codes are provided for reference purposes only. (For a complete listing of the United States Federal Supply Codes, to which the Australian categories are approximately equivalent, see http://www.scrantonrtg.com/secrc/fsc-codes/fsc.html.).

- (b) For Australia, this Chapter does not cover the following services, as elaborated in the Common Classification System and the WTO system of classification – MTN.GNS/W/120, due to Article 22.2. (For a complete listing of Common Classification System, see: http://www.tcc.mac.doc.gov/cgibin/doit.cgi?204:66:601961876:49#An1001.1b-2-B.)
 - Design, development, integration, test, evaluation, maintenance, repair, modification, rebuilding and installation of military systems and equipment (approximately equivalent to relevant parts of U.S. Product Service Codes A
 - Operation of Government-owned Facilities (approximately equivalent to U.S. Product Service Code M)
 - Space services (AR, B4 & V3)
 - Services in support of military forces overseas

- (c) This Chapter does not cover the procurement of goods and services by, or on behalf of, the Defence Intelligence Organisation, the Defence Signals Directorate, or the Defence Imagery and Geospatial Organisation.
- (d) In respect of Article 15.2, the Australian Government reserves the right, pursuant to Article 22.2, to maintain the Australian Industry Involvement program and its successor programs and policies.
- 4. <u>Department of Finance and Administration</u> This Chapter does not cover procurement by the PSS Board or the CSS Board of investment management, investment advisory, or master custody and safekeeping services for the purposes of managing and investing the assets of the CSS and PSS Funds.

Schedule of the United States¹

- 1. Advisory Commission on Intergovernmental Relations
- 2. Africa Development Foundation
- 3. Alaska Natural Gas Transportation System
- 4. American Battle Monuments Commission
- 5. Appalachian Regional Commission
- 6. Broadcasting Board of Governors
- 7. Commission of Fine Arts
- 8. Commission on Civil Rights
- 9. Commodity Futures Trading Commission
- 10. Consumer Product Safety Commission
- 11. Corporation for National and Community Service
- 12. Delaware River Basin Commission
- 13. Department of Agriculture²
- 14. Department of Commerce³
- 15. Department of Defense⁴
- 16. Department of Education
- 17. Department of Energy⁵
- 18. Department of Health and Human Services
- 19. Department of Homeland Security⁶
- 20. Department of Housing and Urban Development
- 21. Department of the Interior, including the Bureau of Reclamation
- 22. Department of Justice
- 23. Department of Labor
- 24. Department of State
- 25. Department of Transportation⁷
- 26. Department of the Treasury
- 27. Department of Veterans Affairs
- 28. Environmental Protection Agency

- 29. Equal Employment Opportunity Commission
- 30. Executive Office of the President
- 31. Export-Import Bank of the United States
- 32. Farm Credit Administration
- 33. Federal Communications Commission
- 34. Federal Crop Insurance Corporation
- 35. Federal Deposit Insurance Corporation
- 36. Federal Election Commission
- 37. Federal Home Loan Mortgage Corporation
- 38. Federal Housing Finance Board
- 39. Federal Maritime Commission
- 40. Federal Mediation and Conciliation Service
- 41. Federal Mine Safety and Health Review Commission
- 42. Federal Prison Industries, Inc.
- 43. Federal Reserve System
- 44. Federal Retirement Thrift Investment Board
- 45. Federal Trade Commission
- 46. General Services Administration⁸
- 47. Government National Mortgage Association
- 48. Holocaust Memorial Council
- 49. Inter-American Foundation
- 50. Merit Systems Protection Board
- 51. National Aeronautics and Space Administration (NASA)
- 52. National Archives and Records Administration
- 53. National Capital Planning Commission
- 54. National Commission on Libraries and Information Science
- 55. National Council on Disability
- 56. National Credit Union Administration
- 57. National Foundation on the Arts and the Humanities
- 58. National Labor Relations Board
- 59. National Mediation Board
- 60. National Science Foundation
- 61. National Transportation Safety Board
- 62. Nuclear Regulatory Commission
- 63. Occupational Safety and Health Review Commission
- 64. Office of Government Ethics
- 65. Office of the Nuclear Waste Negotiator
- 66. Office of Personnel Management
- 67. Office of Special Counsel
- 68. Office of Thrift Supervision
- 69. Overseas Private Investment Corporation
- 70. Peace Corps
- 71. Pennsylvania Avenue Development Corporation
- 72. Railroad Retirement Board
- 73. Securities and Exchange Commission

- 74. Selective Service System
- 75. Small Business Administration
- 76. Smithsonian Institution
- 77. Susquehanna River Basin Commission
- 78. United States Agency for International Development
- 79. United States International Trade Commission

Notes to the Schedule of the United States

- 1. Unless otherwise specified in this Schedule, all agencies subordinate to the listed entities are covered by this Chapter.
- 2. <u>Department of Agriculture</u>: This Chapter does not cover the procurement of agricultural goods made in furtherance of agricultural support programs or human feeding programs.
- 3. <u>Department of Commerce</u>: This Chapter does not cover shipbuilding activities of the U.S. National Oceanic and Atmospheric Administration (NOAA).
- 4. <u>Department of Defense</u>: This Chapter does not cover the procurement of the goods listed below. (For aa complete listing of U.S. Federal Supply Classification, see www.scrantonrtg.com/secrc/fsc-codes/fsc.html.)

(a)	FSC 11	Nuclear Ordnance
	FSC 18	Space Vehicles
	FSC 19	Ships, Small Craft, Pontoons, and Floating Docks (the part of this classification defined as naval vessels or major components of the hull or superstructure thereof)
	FSC 20	Ship and Marine Equipment (the part of this classification defined as naval vessels or major components of the hull or superstructure thereof)
	FSC 2310	Passenger Motor Vehicles (only Buses)
	FSC 2350	Combat, Assault & Tactical Vehicles, Tracked
	FSC 51	Hand Tools
	FSC 52	Measuring Tools
	FSC 60	Fibre Optics Materials, Components, Assemblies, and Accessories
	FSC 8140	Ammunition & Nuclear Ordnance Boxes, Packages & Special Containers
	FSC 83	Textiles, Leather, Furs, Apparel, Shoes, Tents, and Flags (all elements other than pins, needles, sewing kits, flagstaffs, flagpoles and flagstaff trucks)
	FSC 84	Clothing, Individual Equipment, and Insignia (all elements other than sub-class 8460 - luggage)
	FSC 89	Subsistence (all elements other than sub-class 8975-tobacco products).

- (b) "Specialty metals," defined as steels melted in steel manufacturing facilities located in the United States or its possessions, where the maximum alloy content exceeds one or more of the following limits, must be used in products purchased by the Department of Defense: (1) manganese, 1.65 percent; silicon, 0.60 percent; or copper, 0.60 percent; or which contains more than 0.25 percent of any of the following elements: aluminum, chromium, cobalt, columbium, molybdenum, nickel, titanium, tungsten or vanadium; (2) metal alloys consisting of nickel, iron-nickel and cobalt base alloys containing a total of other alloying metals (except iron) in excess of 10 per cent; (3) titanium and titanium alloys; or (4) zirconium base alloys.
- (c) For the United States, this Chapter generally does not cover the procurement of the goods in the following FSC categories, due to application of Article 22.2 (Essential Security):

FSC 10	Weapons
FSC 12	Fire Control Equipment
FSC 13	Ammunitions and Explosives
FSC 14	Guided Missiles
FSC 15	Aircraft and Airframe Structural Components
FSC 16	Aircraft Components and Accessories
FSC 17	Aircraft Launching, Landing, and Ground Handling
	Equipment
FSC 19	Ships, Small Craft, Pontoons, and Floating Docks
FSC 20	Ship and Marine Equipment
FSC 28	Engines, Turbines, and Components
FSC 31	Bearings
FSC 58	Communications, Detection, and Coherent Radiation
FSC 59	Electrical and Electronic Equipment Components
FSC 95	Metal Bars, Sheets, and Shapes

- 5. <u>Department of Energy</u>: This Chapter does not cover national security procurements made in support of safeguarding nuclear materials or technology and entered into under the authority of the *Atomic Energy Act*, or oil purchases related to the Strategic Petroleum Reserve.
- 6. Department of Homeland Security:
 - (a) This Chapter does not cover procurement by the Transportation Security Administration.
 - (b) The essential security interests of the United States equally apply to the United States Coast Guard.
- 7. <u>Department of Transportation</u>: This Chapter does not cover procurement by the Federal Aviation Administration.

8. <u>General Services Administration</u>: This Chapter does not cover the procurement of the goods in the following FSC categories:

FSC 51 Hand Tools FSC 52 Measuring Tools FSC 7340 Cutlery and Flatware

SECTION 2: REGIONAL GOVERNMENT ENTITIES

- 1. This Chapter applies to the regional government entities listed in each Party's Schedule to this Section where the value of the procurement is estimated, in accordance with Article 15.1.6 and 15.1.7, to equal or exceed:
 - (a) for procurement of goods and services: A\$666,000 or US\$477,000;
 - (b) for procurement of construction services: A\$9,396,000 or US\$6,725,000.

The monetary thresholds set out in paragraph 1 shall be adjusted in accordance with Section 8 of this Annex.

Schedule of Australia

This Chapter covers only those entities specifically listed in this Schedule.

Australian Capital Territory

ACT Auditor-General's Office

ACT Electoral Commission

ACT Gambling and Racing Commission

ACT Health

ACT Insurance Authority

ACT Planning and Land Authority

ACT Planning and Land Council

ACT Workcover

ACTION

Australia Capital Tourism Corporation

Chief Minister's Department

Cultural Facilities Corporation

Department of Disability, Housing and Community Services

Department of Education, Youth and Family Services

Department of Justice and Community Safety

Department of Treasury

Department of Urban Services

Director of Public Prosecutions

Environment Commissioner

Human Rights Office

Legal Aid Office

National Exhibition Centre Trust

Ombudsman of the ACT

The Independent Competition and Regulatory Commission

For the entities listed for the Australian Capital Territory, this Chapter does not cover the procurement of health and welfare services, education services, utility services, or motor vehicles.

New South Wales

Agriculture and Fisheries Portfolio

Department of Agriculture

New South Wales Fisheries

Rural Assistance Authority

Safe Food Production

Attorney General and Environment Portfolio

Attorney General's Department

Department of Environment and Conservation

Legal Aid Commission

Office of the Director of Public Prosecutions

Public Trust Office

Commerce and Industrial Relations Portfolio

Department of Commerce

Motor Accidents Authority

Motor Vehicle Repair Industry Authority

WorkCover Authority

Community Services, Ageing, Disability Services, and Youth Portfolio

Commission for Children and Young People

Department of Ageing, Disability and Home Care

Department of Community Services

Office of the Children's Guardian

Education and Training and Aboriginal Affairs Portfolio

Aboriginal Housing Office

Department of Aboriginal Affairs

Department of Education and Training

Office of the Board of Studies

Energy and Utilities, Science and Medical Research, and Cancer Portfolio

Ministry for Science and Medical Research

Ministry of Energy, Utilities and Sustainability

Gaming and Racing Portfolio

Department of Gaming and Racing

Health Portfolio

Department of Health

Health Care Complaints Commission

<u>Infrastructure and Planning and Natural Resources Portfolio</u>

Department of Infrastructure, Planning and Natural Resources

Sydney Harbour Foreshore Authority

Justice Portfolio

Department of Corrective Services

Juvenile Justice and Planning Administration Portfolio

Department of Juvenile Justice

Heritage Office

Mineral Resources Portfolio

Department of Mineral Resources

Police Portfolio

Ministry for Police

New South Wales Crime Commission

Police Integrity Commission

Premier, Arts, and Citizenship Portfolio

Community Relations Commission

Ministry for the Arts

Ombudsman's Office

Parliamentary Counsel's Office

Premier's Department

State Electoral Office

The Audit Office of New South Wales

The Cabinet Office

Regional Development and Small Business Portfolio

Department of State and Regional Development

Rural Affairs, Local Government, Emergency Services, and Lands Portfolio

Department of Lands

Department of Local Government

Department of Rural Fire Service

New South Wales Fire Brigades

State Emergency Service

Tourism and Sport and Recreation and Women Portfolio

Department for Women

Department of Tourism, Sport and Recreation

Transport Services and Forests Portfolio

Ministry of Transport

State Forests, Forestry Commission

Treasurer and State Development Portfolio

Sydney Olympic Park Authority

NSW Treasury

- 1. For the entities listed for New South Wales, this Chapter does not cover the procurement of health and welfare services, education services, or motor vehicles.
- 2. Australia shall phase-out the non-compliant offset and preference schemes of New South Wales within three years after the date of entry into force of this Agreement.

3. For the entities listed for New South Wales, the Chapter does not apply to procurements undertaken by a covered entity on behalf of a non-covered entity.

Northern Territory

Chief Minister's Portfolio

Department of the Chief Minister

Auditor General's Office

Department of Legislative Assembly

Ombudsman's Office

Remuneration Tribunal

Asian Relations and Trade, Business and Industry, Mines and Energy, Primary Industry and

Fisheries and Defence Support Portfolio

Department of Business, Industry and Resource Development

<u>Indigenous Affairs Portfolio</u>

Aboriginal Areas Protection Authority

Arts and Museums, Community Development, Sport and Recreation, Regional Development Portfolio

Department of Community Development, Sport and Cultural Affairs

Museum and Art Galleries

Strehlow Centre Board

Employment, Education and Training Portfolio

Office of the Commissioner for Public Employment

Northern Territory Employment and Training Authority

Work Health Authority

Health, Family and Community Services Portfolio

Department of Health and Community Services

Health and Community Services Complaints Commission

Justice and Attorney -General's Portfolio

Department of Justice

Lands and Planning Portfolio

Land Development Corporation

Parks and Wildlife Portfolio

Parks and Wildlife Commission of the Northern Territory

Police, Fire and Emergency Services Portfolio

Northern Territory Emergency Service

Northern Territory Fire and Rescue Service

Police Force of the Northern Territory

Racing, Gaming and Licensing Portfolio

Northern Territory Licensing Commission

Racing Commission

Tourism Portfolio

Northern Territory Tourist Commission

Treasury Portfolio

Northern Territory Treasury

Utilities Commission of the Northern Territory

- 1. For the entities listed for the Northern Territory, this Chapter does not cover set-asides on behalf of the Charles Darwin University pursuant to Partnership Agreements between the Northern Territory Government and Charles Darwin University.
- 2. Australia shall phase-out the non-compliant parts of its *Building Northern Territory Industry Participation* program within three years after the date of entry into force of this Agreement.

Queensland

Aboriginal and Torres Strait Islander Policy Portfolio

Department of Aboriginal and Torres Strait Islander Policy

Attorney-General and Justice Portfolio

Department of Justice and Attorney-General

Public Trustee of Queensland

Child Safety Portfolio

Department of Child Safety

Communities and Disability Services Portfolio

Department of Communities and Disability Services Queensland

Deputy Premier, Treasurer and Sport Portfolio

Treasury Department

Government Superannuation Office

Motor Accident Insurance Commission

Nominal Defendant

Office of Economical and Statistical Research

Office of State Revenue

Queensland Office of Gaming and Regulation

Emergency Services Portfolio

Department of Emergency Services

Queensland Ambulance Service

Oueensland Fire Service

Environment Portfolio

Environmental Protection Agency

Queensland Parks and Wildlife Service

Local Government, Planning and Women Portfolio

Department of Local Government, Planning, Sport and Recreation

Sport and Recreation Queensland

Office for Women

Natural Resources, Mines and Energy Portfolio

Department of Natural Resources, Mines and Energy

Police and Corrective Services Portfolio

Oueensland Police Service

Department of Corrective Services

Premier and Trade Portfolio

Department of the Premier and Cabinet

Office of the Queensland Parliamentary Counsel

Office of Public Sector Merit and Equity

Primary Industries Portfolio

Department of Primary Industries and Fisheries

DPI Forestry

Public Works, Housing and Racing Portfolio

Department of Public Works

Department of Housing

State Development and Innovation Portfolio

Department of State Development and Innovation

Tourism, Fair Trading and Wine Industry Development

Department of Tourism, Fair Trading and Wine Industry Development

Office of Fair Trading

Transport and Main Roads Portfolio

Department of Transport

Department of Main Roads

- 1. For the entities listed for Queensland, this Chapter does not apply to procurements by covered entities on behalf of non-covered entities.
- 2. The procurement policies and procedures that are not compliant with the offsets provisions of this Chapter will be made compliant within three years from the date of entry into force of the Agreement.
- 3. For the entities listed for Queensland, this Chapter does not cover the procurement of health and welfare services, education services, government advertising and motor vehicles.

South Australia

Department of the Premier and Cabinet

Arts SA

Department of Treasury and Finance

Independent Gambling Authority

Department of Trade and Economic Development

Department of Primary Industries and Resources SA

Department of Justice

Attorney-General's Department

Department for Correctional Services

Country Fire Services

Courts Administration Authority

Emergency Services Administrative Unit

South Australian Metropolitan Fire Services

South Australian Police Department

State Electoral Office

Auditor-General's Department

Department of Human Services

Department of Aboriginal Affairs and Reconciliation

Department of Education and Children's Services

Department of Further Education Employment, Science & Technology

SA Tourism Commission

Department for Environment and Heritage

Environment Protection Authority

Department of Water, Land and Biodiversity Conservation

Department of Transport and Urban Planning

Transport Services

Transport Planning

Office of Public Transport Board

Planning SA

Office for Sustainable Social, Environmental and Economic Development

Office of Local Government

Department for Administrative and Information Services

State Supply Board

- 1. For the entities listed for South Australia, this Chapter does not cover the procurement of health and welfare services, education services, advertising services, or motor vehicles.
- 2. Any measure providing for inclusion of offsets in procurements will be phased out within three years of the date of entry into force of the Agreement.

Tasmania

Department of Education

Department of Health and Human Services

Department of Infrastructure, Energy and Resources

Department of Justice

Department of Police and Public Safety

Department of Premier and Cabinet

Department of Primary Industries, Water and Environment

Department of Economic Development

Department of Tourism, Parks, Heritage and the Arts

Department of Treasury and Finance

House of Assembly

Legislative Council

Legislature-General

Office of the Governor

Tasmanian Audit Office

For the entities listed for Tasmania, this Chapter does not cover the procurement of health and welfare services, education services, or advertising services.

Victoria

Departments

Department of Education and Training

Department of Innovation Industry and Regional Development

Department of Human Services

Department of Infrastructure

Department of Justice

Department of Premier and Cabinet

Department of Primary Industries

Department of Sustainability and Environment

Department of Treasury and Finance

Department of Victorian Communities

Administrative Offices

Victorian Auditor-General's Office

Office of Public Prosecutions

Office of the Chief Commissioner of Police

Office of the Ombudsman

Office of the Commissioner for Public Employment

Essential Services Commission

Office of the Legal Ombudsman

Victorian Electoral Commission

Office of the Privacy Commissioner

- 1. For the entities listed for Victoria, this Chapter does not cover the procurement of motor vehicles.
- 2. Australia shall phase-out the non-compliant parts of its Victorian Industry Participation Policy within three years after the date of entry into force of this Agreement.
- 3. For the entities listed for Victoria, this Chapter does not apply to procurements by covered entities on behalf of non-covered entities.

Western Australia

Agriculture; Forestry and Fisheries; The Midwest, Wheatbelt, and Great Southern Portfolio

Department of Agriculture

Rural Business Development Corporation of Western Australia

Department of Fisheries

Mid West Development Commission

Wheatbelt Development Commission

Great Southern Development Commission

Attorney General; Health; Electoral Affairs Portfolio

Office of the Director of Public Prosecutions

Office of the Information Commissioner

Law Reform Commission of Western Australia

Equal Opportunity Commission

Department of Health

Western Australian Electoral Commission

<u>Community Development, Women's Interests, Seniors and Youth; Disability Services; Culture and the Arts Portfolio</u>

Department for Community Development

Disability Services Commission

Department of Culture and the Arts

Consumer and Employment Protection; Indigenous Affairs Portfolio

Department of Consumer and Employment Protection

Department of Indigenous Affairs

Department of the Registrar, Western Australian Industrial Relations Commission

Education and Training Portfolio

Department of Education and Training

Country High Schools Hostels Authority

Curriculum Council of Western Australia

Department of Education Services

Environment Portfolio

Department of Conservation and Land Management

Botanic Gardens and Parks Authority

Department of Environment

Office of Water Policy

Swan River Trust

Water and Rivers Commission

Zoological Gardens Board

Housing and Works; Racing and Gaming; Government Enterprises; Land Information Portfolio

Department of Housing and Works

State Supply Commission of Western Australia

Department of Racing, Gaming and Liquor

Local Government and Regional Development; Heritage; the Kimberley, Pilbara and

Gascoyne; Goldfields-Esperance Portfolio

Department of Local Government and Regional Development

Heritage Council of WA

National Trust of Australia (WA)

Kimberley Development Commission

Pilbara Development Commission

Gascoyne Development Commission

Goldfields Esperance Development Commission

Planning and Infrastructure Portfolio

Department for Planning and Infrastructure

Main Roads Western Australia

Western Australian Planning Commission

Public Transport Authority

Department of Land Information

Police and Emergency Services; Justice; Community Safety Portfolio

Fire and Emergency Services Authority of Western Australia

Department of Justice

Office of the Inspector of Custodial Services

Western Australia Police Service

Premier; Public Sector Management; Federal Affairs; Science; Citizenship and Multicultural

Interests Portfolio

Department of the Premier and Cabinet

Governor's Establishment

Office of the Public Sector Standards Commission

Salaries and Allowances Tribunal

State Development Portfolio

Department of Industry and Resources

Minerals and Energy Research Institute of Western Australia

Tourism; Small Business; Sport and Recreation; Peel and the South West Portfolio

Western Australian Tourism Commission

Small Business Development Corporation

Rottnest Island Authority

Recreation Camps and Reserves Board

Department of Sport and Recreation

Western Australian Sports Centre Trust

Peel Development Commission

South West Development Commission

Treasury; Energy Portfolio

Department of Treasury and Finance

Office of Energy

Perth International Centre for Application of Solar Energy

Parliament

Legislative Assembly

Legislative Council

Office of the Auditor General

Office of the Parliamentary Commissioner for Administrative Investigations

Corruption and Crime Commission

Parliamentary Services Department

Schedule of the United States

This Chapter covers procurement only by those entities listed in this Schedule.

Arkansas

Executive branch agencies, including universities

For the entities listed for Arkansas, this Chapter does not cover procurement by the Office of Fish and Game or construction services.

California

Executive branch agencies

Colorado

Executive branch agencies

Connecticut

Department of Administrative Services Connecticut Department of Transportation Connecticut Department of Public Works Constituent Units of Higher Education

Delaware*

Administrative Services (Central Procurement Agency) State Universities State Colleges

Florida*

Executive branch agencies

Georgia

Department of Administrative Services Georgia Technology Authority

For the entities listed for Georgia, this Chapter does not cover the procurement of beef, compost, or mulch.

Hawaii

Department of Accounting and General Services

For the entities listed for Hawaii, this Chapter does not cover procurement of software developed in the state or construction services.

Idaho

Central Procurement Agency (including all colleges and universities subject to central purchasing oversight)

Kansas

Executive branch agencies

For the entities listed for Kansas, this Chapter does not cover the procurement of construction services, automobiles, or aircraft.

Kentucky

Division of Purchases, Finance and Administration Cabinet

For the entity listed for Kentucky, this Chapter does not cover procurement for construction projects.

Louisiana

Executive branch agencies

Maine*

Department of Administrative and Financial Services

Bureau of General Services (covering state government agencies and school construction)

Maine Department of Transportation

Maryland*

Office of the Treasury

Department of the Environment

Department of General Services

Department of Housing and Community Development

Department of Human Resources

Department of Licensing and Regulation

Department of Natural Resources

Department of Personnel

Department of Public Safety and Correctional Services

Department of Transportation

Mississippi

Department of Finance and Administration

For the entities listed for Mississippi, this Chapter does not cover the procurement of services.

Nebraska

Central Procurement Agency

New Hampshire*

Central Procurement Agency

New York*

State agencies

State university system

Public authorities and public benefit corporations

- 1. For the entities listed for New York, this Chapter does not cover public authorities and public benefit corporations with multi-state mandates.
- 2. For the entities listed for New York, this Chapter does not cover the procurement of transit cars, buses, or related equipment.

Oregon

Department of Administrative Services

Pennsylvania*

Executive branch agencies, including:

Governor's Office

Department of the Auditor General

Treasury Department

Department of Agriculture

Department of Banking

Pennsylvania Securities Commission

Department of Health

Department of Transportation

Insurance Department

Department of Aging

Department of Correction

Department of Labor and Industry

Department of Military Affairs

Office of Attorney General

Department of General Services

Department of Education

Public Utility Commission

Department of Revenue

Department of State

Pennsylvania State Police

Department of Public Welfare

Fish Commission

Game Commission

Department of Commerce

Board of Probation and Parole

Liquor Control Board

Milk Marketing Board

Lieutenant Governor's Office

Department of Community Affairs

Pennsylvania Historical and Museum Commission

Pennsylvania Emergency Management Agency

State Civil Service Commission

Pennsylvania Public Television Network

Department of Environmental Resources

State Tax Equalization Board

Department of Public Welfare

State Employees' Retirement System

Pennsylvania Municipal Retirement Board

Public School Employees' Retirement System Pennsylvania Crime Commission Executive Offices

Rhode Island

Executive branch agencies

For the entities listed for Rhode Island, this Chapter does not cover the procurement of boats, automobiles, buses, or related equipment.

South Dakota

Central Procuring Agency (including universities and penal institutions)

For the entities listed for South Dakota, this Chapter does not cover procurement of beef.

Texas

Texas Building and Procurement Commission

For the entity listed for Texas, this Chapter does not apply to preferences for: (1) motor vehicles; (2) travel agents located in Texas; or (3) rubberized asphalt paving made from scrap tires by a Texas facility.

Utah

Executive branch agencies

Vermont

Executive branch agencies

Washington

Washington State executive branch agencies, including:

General Administration

Department of Transportation

State Universities

For the entities listed for Washington, this Chapter does not cover the procurement of fuel, paper products, boats, ships, or vessels.

Wyoming*

Procurement Services Division Wyoming Department of Transportation University of Wyoming

Notes to the Schedule of the United States

1. For the United States regional entities marked by an asterisk (*), indicating pre-existing

restrictions, this Chapter does not cover procurement of construction-grade steel (including requirements on subcontracts), motor vehicles, or coal.

- 2. For the United States regional entities, this Chapter does not apply to preferences or restrictions associated with programs promoting the development of distressed areas or businesses owned by minorities, disabled veterans, or women.
- 3. Nothing in this Annex shall be construed to prevent any state entity from applying restrictions that promote the general environmental quality in that state, as long as such restrictions are not disguised barriers to international trade.
- 4. This Chapter does not cover any procurement made by a covered entity on behalf of non-covered entities at a different level of government.
- 5. For the United States regional entities, this Chapter does not apply to restrictions attached to Federal funds for mass transit and highway projects.
- 6. For the United States regional entities, this Chapter does not cover the procurement of printing services.

SECTION 3: Government Enterprises

- 1. This Chapter applies to the government enterprises listed in each Party's Schedule to this Section where the value of the procurement is estimated, in accordance with Article 15.1.6 and 15.1.7, to equal or exceed:
 - (a) for procurement of goods and services:
 - (i) of List A entities, A\$409,000 or US\$292,751; or
 - (ii) of List B entities, US\$538,000; and
 - (b) for procurement of construction services for List A and List B entities: A\$9,396,000 or US\$6,725,000.

The monetary thresholds set out in sub-paragraphs (a) and (b) shall be adjusted in accordance with Section 8 of this Annex.

Schedule of Australia^{1,2}

List A:

- 1. Aged Care Standards and Accreditation Agency Ltd.
- 2. Australian Accounting Standards Board
- 3. Australian Broadcasting Authority
- 4. Australian Communications Authority
- 5. Australian Fisheries Management Authority
- 6. Australian Institute of Criminology
- 7. Australian Institute of Health and Welfare
- 8. Australian Institute of Marine Science
- 9. Australian Law Reform Commission
- 10. Australian Maritime Safety Authority
- 11. Australian National Maritime Museum
- 12. Australian Nuclear Science and Technology Organization
- 13. Australian Pesticides and Veterinary Medicines Authority
- 14. Australian Prudential Regulation Authority
- 15. Australian Securities and Investments Commission
- 16. Tourism Australia
- 17. Australian Trade Commission (Austrade)
- 18. Australian War Memorial³
- Comcare
- 20. Commonwealth Scientific and Industrial Research Organisation
- 21. Corporations and Markets Advisory Committee
- 22. Export Finance and Insurance Corporation

- 23. Grains Research and Development Corporation
- 24. Great Barrier Reef Marine Park Authority
- 25. Health Insurance Commission
- 26. Land and Water Resources Research and Development Corporation
- 27. National Gallery of Australia
- 28. National Museum of Australia
- 29. National Occupational Health and Safety Commission
- 30. Reserve Bank of Australia
- 31. Sydney Harbour Federation Trust
- 32. The Director of National Parks
- 33. The National Institute of Clinical Studies Ltd.

Notes to the Schedule of Australia

- 1. For the entities listed in Australia's list A, this Chapter covers only those entities listed in this Schedule.
- 2. For the entities listed in Australia's list A, this Chapter does not cover the procurement of motor vehicles.
- 3. This Chapter does not cover procurement of telecommunications services by the Australian War Memorial.

Schedule of the United States

List A:

- 1. Tennessee Valley Authority
- 2. Bonneville Power Administration
- 3. Western Area Power Administration
- 4. Southeastern Power Administration
- 5. Southwestern Power Administration
- 6. St. Lawrence Seaway Development Corporation

List B:

Rural Utilities Service¹

Notes to the Schedule of the United States

- 1. The Rural Utilities Service shall:
 - (a) waive federal buy national requirements imposed as conditions of funding for all power generation projects; and

- (b) apply procurement procedures equivalent to the procedures in the WTO Agreement on Government Procurement and national treatment to funded projects exceeding the thresholds specified above.
- 1. For greater clarity, this Chapter does not apply to any other aspect of procurement by the Rural Utilities Service, including any restrictions the Rural Utilities Service places on financing for telecommunications projects.
- 2. With respect to procurement by entities listed in this Section, this Chapter does not apply to restrictions attached to Federal funds for airport projects.

SECTION 4: GOODS

This Chapter applies to all goods procured by the entities listed in Sections 1 through 3, unless otherwise specified in this Chapter, including this Annex.

SECTION 5: SERVICES

This Chapter applies to all services procured by the entities listed in Sections 1 through 3, unless otherwise specified in this Chapter, including this Annex.

Schedule of Australia

This Chapter does not cover the procurement of plasma fractionation services or government advertising services.

Schedule of the United States

This Chapter does not cover the procurement of the following services, as elaborated in the Common Classification System and the WTO system of classification – MTN.GNS/W/120. (For complete listing of Common Classification System, see: http://www.tcc.mac.doc.gov/cgi-bin/doit.cgi?204:66:601961876:49#An1001.1b-2-B.)

Basic telecommunications network and services listed in paragraph 2C(a) through (g) of WTO document MTN.GNS/W/120, such as public voice and data services. This exclusion does not include information services, as defined in 47 United States Code (USC) 153(20). See http://www.gpoaccess.gov/uscode/search.html.

J. Maintenance, Repair, Modification, Rebuilding and Installation of Goods/ Equipment

J019 Maintenance, Repair, Modification, Rebuilding and Installation of

Equipment Related to Ships

J998 Non-nuclear Ship Repair

M. Operation of Government-Owned Facilities:

All facilities operated by the Department of Defense, Department of Energy, and the National Aeronautics and Space Administration; and for all entities in Section 1 through Section 3: M180 Research and Development facilities

- S. **Utilities**: All Classes
- V. **Transportation, Travel and Relocation Services**: All Classes except V503 Travel Agent Services

Note to the Schedule of the United States

1. This Chapter does not cover the procurement of any service in support of military forces overseas.

SECTION 6: CONSTRUCTION SERVICES

This Chapter applies to all construction services procured by the entities listed in Sections 1 through 3, unless otherwise specified in this Chapter, including this Annex.

Schedule of the United States

This Chapter does not cover the procurement of dredging services.

Note to Section 6

1. Buy national requirements on articles, supplies, or materials acquired for use in construction services contracts covered by this Chapter shall not apply to goods of either Party.

SECTION 7: GENERAL NOTES

Unless otherwise specified herein, the following General Notes in each Party's Schedule apply without exception to this Chapter, including to all sections of this Annex.

Schedule of Australia

This Chapter does not apply to:

- (a) any form of preference to benefit small and medium enterprises;
- (b) measures to protect national treasures of artistic, historic, or archaeological value;
- (c) measures for the health and welfare of indigenous people; and
- (d) measures for the economic and social advancement of indigenous people.

Schedule of the United States

- 1. This Chapter does not apply to set asides on behalf of small or minority businesses. Setasides include any form of preference, such as the exclusive right to provide a good or service and price preferences.
- 2. Where a contract is to be awarded by an entity that is not listed in Section 1, 2 or 3, this Chapter shall not be construed as covering any good or service component of that contract.
- 3. This Chapter does not apply to the procurement of transportation services that form a part of, or are incidental to, a procurement contract.

SECTION 8: THRESHOLD ADJUSTMENT FORMULA

- 1. The thresholds in Sections 1 through 3 shall be adjusted at two-year intervals with each adjustment taking effect in January, beginning on January 1, 2006.
- 2. With regard to thresholds for goods and services in Section 1 and for goods and services for List A entities referred to in Section 3, the U.S. dollar value for each threshold shall be calculated every two years, based on the U.S. inflation rate measured by the Producer Price Index for Finished Goods published by the U.S. Bureau of Labor Statistics, using the two-year time that ends on October 31 in the year prior to the adjustment taking effect, and using the following formula:

$$T_1 = T_0 \times (1 + i)$$

 T_0 = threshold value at base period t_i = accumulated U.S. inflation rate for the "i"th two year-period t_1 = new threshold value; and

- 3. The thresholds for goods and services in Section 2, for goods and services for List B entities in Section 3, and for construction services in Sections 1 through 3 are conversions into U.S. dollars of the thresholds listed in the U.S. Appendix 1 to the WTO Agreement on Government Procurement, which are set out in Special Drawing Rights (SDRs) and listed below. Adjustments of these thresholds shall be calculated, based on an average of the daily conversion rates of the U.S. dollar in terms of SDRs published by the IMF in its monthly "International Financial Statistics", for the two-year period preceding October 1 or November 1 of the year before the adjusted thresholds are to take effect:
 - (a) 5 million SDRs for construction services;
 - (b) 355,000 SDRs for goods and services for Section 2 entities; and
 - (c) 400,000 SDRs for goods and services for Section 3 List B entities.
- 4. The U.S. dollar value of the adjusted thresholds shall be converted into the Australian dollar based on the official conversion rate of the Reserve Bank of Australia, using the average of the daily values of the Australian dollar in terms of the U.S. dollar over the two-year period ending September 30 in the year prior to the adjustments taking effect, and rounded to the nearest thousand Australian dollars;
- 5. Each Party shall notify the other Party of the adjusted thresholds in their respective currencies; and
- 6. The Parties shall consult if a major change in a national currency vis-à-vis the other currency were to create a significant problem with regard to the application of the Chapter.